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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. : 10/695,314 Filed : 10/28/2003

Confirmation No. : 4190

For : Continuously Variable Stepped Transmission

Applicant(s) : Timothy J. Morscheck

Art Unit : 3681

Examiner : Unknown

PDS No. : 02-TRN-178

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Label EU350692112US in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313, on:

Teresa Bonsall

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with 37 CFR § 1.97, Applicant hereby makes of record the following additional documents. PTO Forms SB/08A and SB/08B and a full copy of each of these documents accompany this statement.

Applicant has become aware of the following documents, cited in an PCT Search Report issued March 19, 2004, during the prosecution of PCT Application No. PCT/IB 03/04863, which corresponds to the above referenced application, and in accordance with 37 CFR § 1.97(b)(3) or in the alternative § 1.97(c), hereby submits these documents for the Examiner's consideration. One other document, identified independently by Applicant, is also included. These documents are cited on the enclosed PTO Form SB/08, and a copy of the PCT Search Report and each document cited thereon are enclosed as well.

This statement is not to be interpreted as a representation that the cited documents are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any document herein be construed *per se* as a representation that such document is prior art. Moreover, Applicant understands the Examiner will make an independent evaluation of the cited documents.

It is believed that no fee is required for the filing of this document. However, the Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith to our Deposit Account No. 05-0275

Respectively submitted,

Dated: 11/04/2004

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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Complete if Known Substitute for form 1449/PTO **Application Number** 10/695,314 Filing Date 10/28/2003 INFORMATION DISCLOSURE Timothy J. Morscheck First Named Inventor STATEMENT BY APPLICANT Art Unit 3681 (Use as many sheets as necessary) **Examiner Name** Ünknown **Attorney Docket Number** 02-TRN-178 1 Sheet

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (d known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 6,001,042	12-14-1999	Richard C. Raney	
		<sup>US-</sup> 4,793,217	12-27-1988	Kunio Morisawa et al	
		<sup>US-</sup> 4,644,820	02-24-1987	James P. Macey et al	
		<sup>US-</sup> 4,672,863	06-16-1987	Hiroshi Itoh et al	
		<sup>US-</sup> 5,401,221	03-28-1995	Thomas G. Fellows	
		US-			
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	Т
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Or Relevant Figures Appear	Т	
		WO 02/057107 A	07-25-2002	Kunihiro lwatuk		
		WO 01/69106 A	09-20-2001	Markus Hoher		
		EP 0 541 771 A	05-19-1993	Julian Parraga		
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Examiner	Date	
Signature	Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitut	Substitute for form 1449/PTO			Complete if Known		
Gubsina	10 10 10 10 10 10			Application Number	10/695,314	
INFO	ORMATION	I DIS	CLOSURE	Filing Date	10/28/2003	
STA	STATEMENT BY APPLICANT			First Named Inventor	Timothy J. Morscheck	
				Art Unit	3681	
(Use as many sheets as necessary)			lecessary)	Examiner Name	Unknown	
Sheet	2	of	2	Attorney Docket Number	02-TRN-178	

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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		PCT International Search Report dated March 19, 2004, Application No. PCT/IB 03/04863	
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Examiner Signature		Date Considered	

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<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.